

Reserved for Beneva: Master account

CM

- This form is required for the implementation of a Private Wealth Management contract.
- A separate application form must be completed for each member (person or company) of the eligible group of individual investors.
- Do not use FundServ for transactions.

1: Group

Group Name: _____

Target Plans (check the plan identified by the group)

☐ NRSP ☐ RRSP ☐ LIRA ☐ TFSA ☐ RRIF ☐ LIF ☐ Other: _____

Information on the eligible group of individual investors

Please identify the persons or companies that will be part of the eligible group of individual investors. This may be the spouse of the eligible investor, a child of the eligible investor and/or their spouse, and the company held by one of the individual investors of the group as a connected person (holding 10% or more of the shares). **Investments in Beneva Guaranteed Investment Funds (Beneva GIF) of the entire group must total at least \$500,000.** Only the amounts invested in the Private Wealth Management product will be considered.

Investors			Approximate Investment in Beneva GIF
Main Investor			
		Y Y Y Y M M D D	
Last/First Name or Company Name	Date of Birth		
Address	City/Town	Postal code	\$ _____
Other Investor			
		Y Y Y Y M M D D	
Last/First Name or Company Name	Date of Birth		
Relationship to Main Investor			
Address	City/Town	Postal code	\$ _____
Other Investor			
		Y Y Y Y M M D D	
Last/First Name or Company Name	Date of Birth		
Relationship to Main Investor			
Address	City/Town	Postal code	\$ _____
Other Investor			
		Y Y Y Y M M D D	
Last/First Name or Company Name	Date of Birth		
Relationship to Main Investor			
Address	City/Town	Postal code	\$ _____
If more space is needed, use an additional form.			
Total			\$ _____

Comments



2: Rebalancing

Assets will be automatically rebalanced on a regular basis to match as closely as possible the asset allocation initially selected.

For non-registered plans ATTENTION: Please note that if you check “YES” the rebalancing of assets in the non-registered plans generate capital gains and losses.

Rebalancing (check): [] NO [] YES If yes, please check below the desired frequency and rebalancing mode.
Frequency (check): -> [] Monthly [] Quarterly [] Semi-annual
Rebalancing Mode (check): -> [] Automatic [] Only if one of the funds deviates by more than _____ % from the target (absolute)

For registered plans

Rebalancing (check): [] NO [] YES If yes, please check below the desired frequency and rebalancing mode.
Frequency (check): -> [] Monthly [] Quarterly [] Semi-annual
Rebalancing Mode (check): -> [] Automatic [] Only if one of the funds deviates by more than _____ % from the target (absolute)

3: Commissions

- The percentage of the commission directly affects pricing.
 - When a commission is shared with the General Agent, the percentages will be maintained (unless stated otherwise: please indicate in Section 4).
- ☐ No load

-> Trailer fee commission percentage: _____ (between 0% and 1%, standard at 0.5%)
- ☐ Advisor chargeback

-> Sales commission percentage: _____ (between 0% and 2%, standard at 1%)

-> Trailer fee commission percentage: * The trailer fee commission will be equal to one half of the sales commission.

*payable as of month 25

4: Signature

I confirm that the relationships between the individuals and/or companies above are accurate.

X

Advisor's Signature

Y Y Y Y M M D D

Date

FundSERV or Beneva Code (if applicable):

Distributor

Advisor

 or

Agency No. (Beneva)

Advisor No. (Beneva)

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